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ZMW



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Application for:

Bau et al.

Application No.: 10/082,807

Filed: February 22, 2002

Art Group: 2194

Confirmation No. 2054

For: ANNOTATION BASED
DEVELOPMENT PLATFORM FOR
ASYNCHRONOUS WEB
SERVICES

Examiner: Hoan, Phuong N.

Customer No. 0025943

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_____ 37 C.F.R. § 1.97(b). Filed:

- (1) Within three months of a National Application other than a CPA;
- (2) Within three months of entry into National Stage;
- (3) Before mailing of a first Office Action on the merits; or
- (4) Before mailing of a first Office Action after filing an RCE.

 X 37 C.F.R. § 1.97(c). Filed before the mailing of a Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution.
And, ONE of the following:

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 X (e)(1) The person signing below certifies that each item of information contained in this IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS; or

_____ (e)(2) The person signing below certifies that no item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing below after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 1.56(c) more than three months prior to filing this IDS.

OR

(2) The fee set forth in 37 C.F.R. § 1.17(p).

_____ A check in the amount of \$180.00 is enclosed.

_____ 37 C.F.R. § 1.97(d). Filed on or before payment of the issue fee.
And, BOTH of the following:

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Accompanying this IDS is a list of references and a copy of each reference listed therein, EXCEPT copies of references waived under:

(A) one or more of the following sections of 37 C.F.R. § 1.98 (as indicated by an "X" to the left of the appropriate paragraph(s)):

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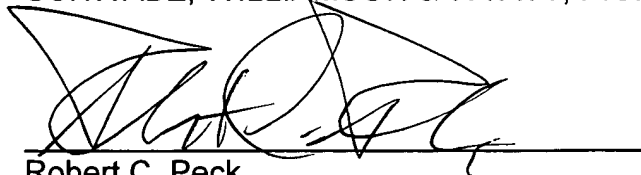
_____ 37 C.F.R. § 1.98(d) as being previously submitted in parent application
_____/_____,_____, to which the present application claims priority under 35
U.S.C. § 120.

(B) 1273 Off. Gaz. Pat. Office 55 as being submitted with respect to an application filed after June 30, 2003.

The Commissioner is hereby authorized to charge shortages or credit overpayments to Deposit Account No. 500393. A Fee Transmittal is enclosed in duplicate for fee processing purposes.

Respectfully submitted,

SCHWABE, WILLIAMSON & WYATT, P.C.



Robert C. Peck
Registration No. 56,826

Dated: November 28, 2006

Pacwest Center, Suites 1600-1900
1211 SW Fifth Avenue
Portland, Oregon 97204
Telephone: 503-221-9981

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STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 1

| | |
|------------------------|-------------------|
| Application Number | 10/082,807 |
| Filing Date | February 22, 2002 |
| First Named Inventor | David Bau, III |
| Art Unit | 2194 |
| Examiner Name | Hoang, Phuong N. |
| Attorney Docket Number | 109870-130103 |

NON PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
|--------------------|-----------------------|---|----------------|
| | 1 | YEMINI, S. A. et al., "Concert: a high-level-language approach to heterogeneous distributed systems", International Conference on Distributed | |
| | | Computing Systems, Newport Beach, June 5-9, 1989, Proceedings of the International Conference on Distributed Computing Systems, Washington, IEEE | |
| | | Comp. Soc. Press, US, Vol. Conf. 9, 5 June 1989, pages 162-171 | |
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